

AMENDMENTS TO THE SPECIFICATION

Please add new paragraph [0029], shown below, after existing paragraph [0028] of the Description of the Drawings and before the Description heading. Please also add new paragraph [0054], shown below, after existing paragraph [0053] of the Description.

[0029] FIG. 7 illustrates aspects of another user interface in accordance with an implementation of the Restricted Securities Processing system.

[0054] Referring now to Fig. 7, aspects of another user interface in accordance with an implementation of the RSP system are shown. The interface includes fields admitting inputs for Date 701, Time 702, Notes 703, and Reason Unsuccessful 705. The interface also includes a display area 704 for listings of field values.